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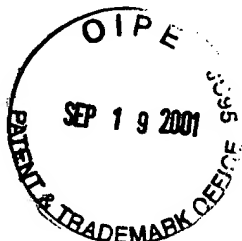


## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,995	05/22/2001	David E. Dines	1017-003US01

28863  
SHUMAKER & HIEFFERT, P. A.  
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CONFIRMATION NO. 7508

## FORMALITIES LETTER



\*OC000000006320055\*

Date Mailed: 07/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$152.
  - \$72 for 4 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 992.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/20/2001 EABUBAK1 00000058 09862995

01 FC:101	710.00 DP
02 FC:102	80.00 DP
03 FC:103	72.00 DP

09/20/2001 EABUBAK1 00000058 09862995

01 FC:101	710.00 DP
02 FC:102	80.00 DP
03 FC:103	72.00 DP
04 FC:105	130.00 DP



SECTOR

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Dines et al. Examiner: Unknown  
Serial No.: 09/862,995 Group Art Unit: 2161  
Filed: May 22, 2001 Docket No.: 1017-003US02  
Title: SALES TRANSACTIONS FOR TRANSFER OF COMMODITIES

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Post Service, as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on Sept 13, 2001.

By:

Name: Celia A. Roberts

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached correspondence relating to this application:

- ☒ Transmittal sheet containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney (4 pgs.)
- ☒ Part 2 - Notice to File Missing Parts of Nonprovisional Application (1 pg.)
- ☒ Check in the amount of \$992.00 (\$862.00 for deferred filing fee and \$130.00 for missing parts surcharge)
- ☐ Request for Corrected Filing Receipt, copy of Filing Receipt with corrections marked in red
- ☒ Return postcard

Please apply any charges not covered, or any credits, to Deposit Account No. 50-1778.

Date:

13 SEPT 2001

By:

Name: Daniel J. Hanson

Reg. No.: 46,757

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